



United States  
**Office of Government Ethics**  
1201 New York Avenue, NW., Suite 500  
Washington, DC 20005-3917

July 23, 2014

The Honorable Ander Crenshaw  
Chairman  
Subcommittee on Financial Services  
and General Government  
Committee on Appropriations  
United States House of Representatives  
B-300 Rayburn House Office Building  
Washington, DC 20515

Dear Mr. Chairman:

This responds to your May 7, 2013, letter inquiring about the U.S. Office of Government Ethics' (OGE) expenditure of the fiscal year 2013 appropriation of \$4.74 million<sup>1</sup> to develop an electronic financial disclosure filing system. This system is required by section 11(b) of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act).<sup>2</sup> This is OGE's fifth report on its efforts to develop the system and represents an accounting of all funds specifically appropriated for development of the system.

During the period since OGE provided its fourth quarterly report on April 23, 2014, contractors and OGE staff continued to work diligently to develop the system. From April through June 2014, they completed three more development cycles. This work has produced nearly complete core functionality for filers, reviewers, ethics officials, certifying officials, and agency system administrators. Remaining for development are minor reporting and browser capabilities.

The table below details the expenditure of the remaining \$479 thousand of the appropriated \$4.74 million (associated with indicated activities) through the third quarter of fiscal year 2014.<sup>3</sup>

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<sup>1</sup> Pub. L. 113-6 Sec. 1305, 127 Stat. 198 (2013) (\$5 million before rescission and sequestration).

<sup>2</sup> Pub. L. 112-105, as amended.

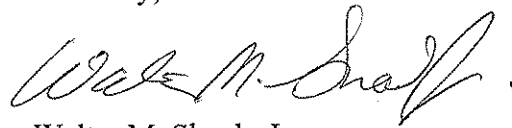
<sup>3</sup> As mentioned in a prior letter (see second quarterly e-filing spending report, dated November 7, 2013), in our reprogramming baseline report for fiscal year 2014, dated March 18, 2014, and in briefings with your staff on February 28 and March 20, 2014, OGE also applied some of its fiscal year 2013 and 2014 baseline budget funds to develop core functionality.

<b>Development Activity</b>	<b>3<sup>rd</sup> Quarter Total (in thousands)</b>
Architecture	\$34
Engineering	\$275
Project Management	\$40
Requirements Analysis	\$80
Security	\$3
Shared Infrastructure & Services	\$6
User Experience	\$1
Quality Assurance	\$40
<b>Total for Quarter</b>	<b>\$479</b>
<b>Cumulative Total</b>	<b>\$4,740</b>

With system development nearly complete, OGE has begun activities necessary to operate the system including: contracting for cloud hosting services; starting the accreditation and certification phase of the project to ensure compliance with all applicable statutes, policies and requirements for federal IT systems; performing a comprehensive security evaluation; and conducting extensive testing and quality assurance activities. By this fall, we expect several agencies will transition to the system. OGE would be pleased to provide a demonstration of the system once it has moved to its full production environment and has been successfully deployed to executive branch agencies.

Please feel free to contact Shelley K. Finlayson, Chief of Staff and Program Counsel, at (202) 482-9314, if you require additional information about the contents of this report.

Sincerely,



Walter M. Shaub, Jr.  
Director